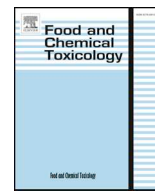




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Food and Chemical Toxicology

journal homepage: www.elsevier.com/locate/foodchemtoxRIFM fragrance ingredient safety assessment, γ -dodecalactone, CAS Registry Number 2305-05-7

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ARTICLE INFO

Keywords:

Genotoxicity
Repeated dose, developmental, and reproductive toxicity
Skin sensitization
Phototoxicity/photoallergenicity
Local respiratory toxicity
Environmental safety

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<https://doi.org/10.1016/j.fct.2019.110895>

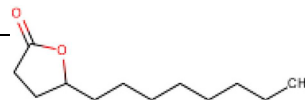
Received 28 May 2019; Accepted 17 October 2019

Available online 07 November 2019

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Version: 052219. This version replaces any previous versions.

Name: γ -Dodecalactone
CAS Registry Number: 2305-05-7



Abbreviation/Definition List:

2-Box Model - A RIFM, Inc. proprietary *in silico* tool used to calculate fragrance air exposure concentration
AF - Assessment Factor
BCF - Bioconcentration Factor
Creme RIFM Model - The Creme RIFM Model uses probabilistic (Monte Carlo) simulations to allow full distributions of data sets, providing a more realistic estimate of aggregate exposure to individuals across a population (Comiskey et al., 2015, 2017; Safford et al., 2015a; Safford et al., 2017) compared to a deterministic aggregate approach
DEREK - Derek Nexus is an *in silico* tool used to identify structural alerts
DST - Dermal Sensitization Threshold
ECHA - European Chemicals Agency
EU - Europe/European Union
GLP - Good Laboratory Practice
IFRA - The International Fragrance Association
LOEL - Lowest Observable Effect Level
MOE - Margin of Exposure
MPPD - Multiple-Path Particle Dosimetry. An *in silico* model for inhaled vapors used to simulate fragrance lung deposition
NA - North America
NESIL - No Expected Sensitization Induction Level
NOAEC - No Observed Adverse Effect Concentration
NOAEL - No Observed Adverse Effect Level
NOEC - No Observed Effect Concentration
NOEL - No Observed Effect Level
OECD - Organisation for Economic Co-operation and Development
OECD TG - Organisation for Economic Co-operation and Development Testing Guidelines
PBT - Persistent, Bioaccumulative, and Toxic
PEC/PNEC - Predicted Environmental Concentration/Predicted No Effect Concentration
QRA - Quantitative Risk Assessment
REACH - Registration, Evaluation, Authorisation, and Restriction of Chemicals
RfD - Reference Dose
RIFM - Research Institute for Fragrance Materials
RQ - Risk Quotient
Statistically Significant - Statistically significant difference in reported results as compared to controls with a $p < 0.05$ using appropriate statistical test
TTC - Threshold of Toxicological Concern
UV/Vis spectra - Ultraviolet/Visible spectra
VCF - Volatile Compounds in Food
VoU - Volume of Use **vPvB** - (very) Persistent, (very) Bioaccumulative
WoE - Weight of Evidence

The Expert Panel for Fragrance Safety* concludes that this material is safe as described in this safety assessment.

This safety assessment is based on the RIFM Criteria Document (Api et al., 2015), which should be referred to for clarifications.

Each endpoint discussed in this safety assessment includes the relevant data that were available at the time of writing (version number in the top box is indicative of the date of approval based on a 2-digit month/day/year), both in the RIFM Database (consisting of publicly available and proprietary data) and through publicly available information sources (e.g., SciFinder and PubMed). Studies selected for this safety assessment were based on appropriate test criteria, such as acceptable guidelines, sample size, study duration, route of exposure, relevant animal species, most relevant testing endpoints, etc. A key study for each endpoint was selected based on the most conservative endpoint value (e.g., PNEC, NOAEL, LOEL, and NESIL).

*The Expert Panel for Fragrance Safety is an independent body that selects its own members and establishes its own operating procedures. The Expert Panel is comprised of internationally known scientists that provide RIFM with guidance relevant to human health and environmental protection.

Summary: The existing information supports the use of this material as described in this safety assessment.

γ -Dodecalactone was evaluated for genotoxicity, repeated dose toxicity, reproductive toxicity, local respiratory toxicity, phototoxicity/photoallergenicity, skin sensitization, and environmental safety. Data from read-across analog (±) 3-methyl- γ -decalactone (CAS # 67663-01-8) show that γ -dodecalactone is not expected to be genotoxic. Data on read-across analog γ -caprolactone (CAS # 695-06-7) provide a calculated MOE > 100 for the repeated dose toxicity and developmental toxicity endpoints. The reproductive and local respiratory toxicity endpoints were evaluated using the TTC for a Cramer Class I material, and the exposure to γ -valerolactone is below the TTC (0.03 mg/kg/day and 1.4 mg/day, respectively). Data from the target material and read-across analogs 4-hydroxy-3-methyloctanoic acid lactone (CAS # 39212-23-2) and (±) 3-methyl- γ -decalactone (CAS # 67663-01-8) show that there are no safety concerns for γ -dodecalactone for skin sensitization under the current, declared levels of use. The phototoxicity/photoallergenicity endpoints were evaluated based on UV spectra; γ -dodecalactone is not expected to be phototoxic/photoallergenic. The environmental endpoints were evaluated; γ -dodecalactone was found not to be PBT as per the IFRA Environmental Standards, and its risk quotients, based on its current volume of use in Europe and North America (i.e., PEC/PNEC), are < 1.

Human Health Safety Assessment

Genotoxicity: Not expected to be genotoxic.

Repeated Dose Toxicity: NOAEL = 333.3 mg/kg/day.

Developmental and Reproductive Toxicity: Developmental Toxicity: NOAEL = 1000 mg/kg/day. Reproductive Toxicity: No NOAEL available. Exposure is below the TTC.

Skin Sensitization: Not a concern for sensitization under the current, declared levels of use.

Phototoxicity/Photoallergenicity: Not expected to be phototoxic/photoallergenic.

Local Respiratory Toxicity: No NOAEC available. Exposure is below the TTC.

(RIFM, 2010; RIFM, 2015)

(ECHA REACH Dossier: Nonan-4-olide; ECHA, 2013)

(ECHA REACH Dossier: Nonan-4-olide; ECHA, 2013)

(RIFM, 2002; RIFM, 1988a)

(UV Spectra, RIFM Database)

Acerola (<i>Malpighia</i>)	Naranjilla fruit (<i>Solanum quitoense</i> Lam.)
Apple brandy (calvados)	Nectarine
Apricot (<i>Prunus armeniaca</i> L.)	Olive (<i>Olea europaea</i>)
Beef	Papaya (<i>Carica papaya</i> L.)
Beer	Passion fruit (<i>Passiflora</i> species)
Blue cheeses	Peach (<i>Prunus persica</i> L.)
Cashew apple (<i>Anacardium occidentale</i>)	Pineapple (<i>Ananas comosus</i>)
Celery (<i>Apium graveolens</i> L.)	Plum (<i>Prunus</i> species)
Cheddar cheese	Plum brandy
Cheese, various types	Pork
Chervil (<i>Anthriscus cerefolium</i> L.)	Prickly pear (<i>Opuntia ficus indica</i>)
Chicken	Quince, marmelo (<i>Cydonia oblonga</i> Mill.)
Citrus fruits	Raspberry, blackberry, and boysenberry
Coconut (<i>Cocos nucifera</i> L.)	Rum
Guava and feyoa	Starfruit (<i>Averrhoa carambola</i> L.)
Lamb and mutton	Strawberry (<i>Fragaria</i> species)
Licorice (<i>Glycyrrhiza</i> species)	Sugar molasses
Macadamia nut (<i>Macadamia integrifolia</i>)	Swiss cheeses
Mangifera species	Vaccinium species
Milk and milk products	Whisky
Mushroom	Wine

*VCF Volatile Compounds in Food: database/Nijssen, L.M.; Ingen-Visscher, C.A. van; Donders, J.J.H. (eds). – Version 15.1 – Zeist (The Netherlands): TNO Triskelion, 1963–2014. A continually updated database containing information on published volatile compounds that have been found in natural (processed) food products. Includes FEMA GRAS and EU-Flavis data.

8. IFRA standard

None.

9. REACH dossier

Pre-registered; no dossier available as of 11/01/18.

10. Summary

10.1. Human health endpoint summaries

10.1.1. Genotoxicity

Based on the current existing data, γ -dodecalactone does not present a concern for genotoxicity.

10.1.1.1. Risk assessment. γ -Dodecalactone was assessed in the BlueScreen assay and found negative for both cytotoxicity (positive: < 80% relative cell density) and genotoxicity in the presence of metabolic activation. In the absence of metabolic activation, γ -dodecalactone was positive for genotoxicity. These positive results were observed at cytotoxic concentrations (positive: < 80% relative cell density) (RIFM, 2013b). BlueScreen is a screening assay that assesses genotoxic stress through human derived gene expression. While the BlueScreen assay on the target material showed positive results, data from additional assays on a more reactive read-across material were considered to fully assess the potential mutagenic or clastogenic effects of the target material.

There are no studies assessing the mutagenic activity of γ -dodecalactone; however, read-across can be made to (\pm) 3-methyl- γ -decalactone (CAS # 67663-01-8; see Section V). The mutagenic activity of (\pm) 3-methyl- γ -decalactone has been evaluated in a bacterial reverse mutation assay conducted in compliance with GLP regulations and in accordance with OECD TG 471 using the standard plate incorporation and preincubation methods. *Salmonella typhimurium* strains TA98, TA100, TA1535, TA1537, and *Escherichia coli* strain WP2uvrA were treated with (\pm) 3-methyl- γ -decalactone in dimethyl sulfoxide (DMSO) at concentrations up to 5000 μ g/plate. No increases in the mean number of revertant colonies were observed at any tested concentration in the presence or absence of S9 (RIFM, 2010). Under the conditions of the study, (\pm) 3-methyl- γ -decalactone was not

mutagenic in the Ames test.

There are no studies assessing the clastogenic activity of γ -dodecalactone; however, read-across can be made to (\pm) 3-methyl- γ -decalactone (CAS # 67663-01-8; see Section V). The clastogenicity of (\pm) 3-methyl- γ -decalactone was assessed in an *in vitro* chromosome aberration study conducted in compliance with GLP regulations and in accordance with OECD TG 473. Human peripheral blood lymphocytes were treated with (\pm) 3-methyl- γ -decalactone in DMSO at concentrations up to 1894 μ g/mL in the presence and absence of metabolic activation. No statistically significant increases in the frequency of cells with structural chromosomal aberrations or polyploid cells were observed with any concentration of the test item, either with or without S9 metabolic activation (RIFM, 2015). Under the conditions of the study, (\pm) 3-methyl- γ -decalactone was considered to be non-clastogenic in the *in vitro* chromosome aberration assay.

Based on the data available, (\pm) 3-methyl- γ -decalactone does not present a concern for genotoxic potential, and this can be extended to γ -dodecalactone.

Additional References: None.

Literature Search and Risk Assessment Completed On: 10/10/18.

10.1.2. Repeated dose toxicity

The margin of exposure for γ -dodecalactone is adequate for the repeated dose toxicity endpoint at the current level of use.

10.1.2.1. Risk assessment. There are insufficient repeated dose toxicity data on γ -dodecalactone. Read-across material γ -caprolactone (CAS # 695-06-7; see Section V) has sufficient repeated dose toxicity data. In a subchronic toxicity study (GLP and OECD 407 compliant) performed on Crl:CD (Sprague Dawley) IGS BR rats, γ -caprolactone was administered through oral gavage at dose levels of 0 (vehicle control: deionized water), 30, 100, 300, or 1000 mg/kg/day for a period of 28 days. No treatment-related adverse effects were reported up to the highest tested dose level. Based on the absence of systemic toxic effects, a NOAEL of 1000 mg/kg/day was selected for the repeated dose toxicity endpoint (ECHA, 2013).

A default safety factor of 3 was used when deriving the NOAEL from an OECD 407 study. The safety factor has been approved by the Expert Panel for Fragrance Safety*. The derived NOAEL for the repeated dose toxicity data is 1000/3 or 333.3 mg/kg/day.

Therefore, the γ -dodecalactone MOE for the repeated dose toxicity endpoint can be calculated by dividing the γ -caprolactone NOAEL in mg/kg/day by the total systemic exposure to γ -dodecalactone, 333.3/0.0015, or 222200.

In addition, the total systemic exposure to γ -dodecalactone (1.5 μ g/kg/day) is below the TTC (30 μ g/kg/day; Kroes et al., 2007) for the repeated dose toxicity endpoint of a Cramer Class I material at the current level of use.

*The Expert Panel for Fragrance Safety is composed of scientific and technical experts in their respective fields. This group provides advice and guidance.

Additional References: RIFM, 1961.

Literature Search and Risk Assessment Completed On: 05/01/18.

10.1.3. Developmental and Reproductive Toxicity

The margin of exposure for γ -dodecalactone is adequate for the developmental toxicity endpoint at the current level of use.

There are insufficient reproductive toxicity data on γ -dodecalactone or on any read-across materials. The total systemic exposure to γ -dodecalactone is below the TTC for the reproductive toxicity endpoint of a Cramer Class I material at the current level of use.

10.1.3.1. Risk assessment. There are insufficient developmental toxicity data on γ -dodecalactone. Read-across material γ -caprolactone (CAS #

695-06-7; see Section V) has sufficient developmental toxicity data. In a developmental toxicity study (GLP and OECD 414 compliant) performed on CrI:CD (Sprague Dawley) IGS BR rats (25/sex/dose), γ -caprolactone was administered through oral gavage at dose levels of 0 (vehicle control: deionized water), 100, 300, or 1000 mg/kg/day for a period of 14 days during gestation from days 6–19. No treatment-related changes were reported for dams in clinical signs, body weights, gravid uterine weight, feed consumption, and necropsy examination. A significant decrease in fetal body weight was reported in the high-dose group; however, the decrease in body weight was within the historical control range. At 300 mg/kg/day, external malformations including meningocele were reported in 1 fetus, visceral malformations including malpositioned descending aorta were reported in another fetus, and a skeletal malformation (a vertebral centra anomaly: the right half of lumbar centrum number 2 was absent and the right half of lumbar centrum no. 1 was malpositioned) was reported in 1 fetus. However, these changes were reported in only 3 of 365 fetuses examined at this dose level and were not present at any other dose level. Other soft tissue and skeletal malformations and variants were reported in a single fetus, but they did not occur in a dose-related manner. In addition, the skeletal variants reported in all treated groups were within the historical control data and therefore not considered to be treatment-related. The NOAEL for maternal and developmental toxicity was considered to be 1000 mg/kg/day, as no treatment-related adverse effects were reported up to the highest dose level tested (ECHA, 2013).

Therefore, the γ -dodecalactone MOE for the developmental toxicity endpoint can be calculated by dividing the γ -caprolactone NOAEL in mg/kg/day by the total systemic exposure to γ -dodecalactone, 1000/0.0015 or 666667.

In addition, the total systemic exposure to γ -dodecalactone (1.5 μ g/kg/day) is below the TTC (30 μ g/kg/day; Kroes et al., 2007; Laufersweiler et al., 2012) for the developmental toxicity endpoint of a Cramer Class I material at the current level of use.

There are insufficient reproductive toxicity data on γ -dodecalactone or on any read-across materials that can be used to support the reproductive toxicity endpoint. The total systemic exposure to γ -dodecalactone (1.5 μ g/kg/day) is below the TTC (30 μ g/kg/day; Kroes et al., 2007; Laufersweiler et al., 2012) for the reproductive toxicity endpoint of a Cramer Class I material at the current level of use.

Additional References: RIFM, 1961.

Literature Search and Risk Assessment Completed On: 05/01/18.

10.1.4. Skin sensitization

Based on the existing data and read-across materials 4-hydroxy-3-methyloctanoic acid lactone (CAS # 39212-23-2) and (±) 3-methyl- γ -decalactone (CAS # 67663-01-8), γ -dodecalactone does not present a concern for skin sensitization.

10.1.4.1. Risk assessment. Limited skin sensitization studies are available for γ -dodecalactone. Based on the existing data and read-across materials 4-hydroxy-3-methyloctanoic acid lactone (CAS # 39212-23-2; see Section V) and (±) 3-methyl- γ -decalactone (CAS # 67663-01-8; see Section V), γ -dodecalactone does not present a concern for skin sensitization under the current, declared levels of use. The chemical structures of these materials indicate that they would not be expected to react with skin proteins (Roberts et al., 2007; Toxtree 2.6.13; OECD toolbox v4.1). No predictive *in chemico* or *in vitro* skin sensitization studies are available on γ -dodecalactone or read-across materials 4-hydroxy-3-methyloctanoic acid lactone and (±) 3-methyl- γ -decalactone in the literature. In guinea pig maximization tests, read-across materials 4-hydroxy-3-methyloctanoic acid lactone and (±) 3-methyl- γ -decalactone did not present reactions indicative of sensitization up to 10% and 20%, respectively (RIFM, 1988a; RIFM, 2002). In human maximization tests, no skin sensitization reactions were observed with 12% γ -dodecalactone (RIFM, 1974).

Based on weight of evidence (WoE) from structural analysis, human and animal studies, and read-across materials 4-hydroxy-3-methyloctanoic acid lactone and (±) 3-methyl- γ -decalactone, γ -dodecalactone does not present a concern for skin sensitization under the current, declared levels of use.

Additional References: RIFM, 1988b.

Literature Search and Risk Assessment Completed On: 10/10/18.

10.1.5. Phototoxicity/photoallergenicity

Based on the available UV/Vis spectra, γ -dodecalactone would not be expected to present a concern for phototoxicity or photoallergenicity.

10.1.5.1. Risk assessment. There are no phototoxicity studies available for γ -dodecalactone in experimental models. UV/Vis absorption spectra indicate no significant absorption between 290 and 700 nm. The corresponding molar absorption coefficient is well below the benchmark of concern for phototoxicity and photoallergenicity (Henry et al., 2009). Based on lack of absorbance, γ -dodecalactone does not present a concern for phototoxicity or photoallergenicity.

10.1.6. UV spectra analysis

UV/Vis absorption spectra (OECD TG 101) were obtained. The spectra indicate no significant absorbance in the range of 290–700 nm. The molar absorption coefficient is below the benchmark of concern for phototoxic effects, 1000 L mol⁻¹ · cm⁻¹ (Henry et al., 2009).

Additional References: None.

Literature Search and Risk Assessment Completed On: 04/11/18.

10.1.7. Local Respiratory Toxicity

The margin of exposure could not be calculated due to lack of appropriate data. The exposure level for γ -dodecalactone is below the Cramer Class I TTC value for inhalation exposure local effects.

10.1.7.1. Risk assessment. There are insufficient inhalation data available on γ -dodecalactone. Based on the Creme RIFM Model, the inhalation exposure is 0.017 mg/day. This exposure is 82.4 times lower than the Cramer Class I TTC value of 1.4 mg/day (based on human lung weight of 650 g; Carthew et al., 2009); therefore, the exposure at the current level of use is deemed safe.

Additional References: None.

Literature Search and Risk Assessment Completed On: 09/25/2018.

10.2. Environmental endpoint summary

10.2.1. Screening-level assessment

A screening-level risk assessment of γ -dodecalactone was performed following the RIFM Environmental Framework (Salvito et al., 2002), which provides 3 tiered levels of screening for aquatic risk. In Tier 1, only the material's regional VoU, its log K_{OW}, and its molecular weight are needed to estimate a conservative risk quotient (RQ), expressed as the ratio Predicted Environmental Concentration/Predicted No Effect Concentration (PEC/PNEC). A general QSAR with a high uncertainty factor applied is used to predict fish toxicity, as discussed in Salvito et al. (2002). In Tier 2, the RQ is refined by applying a lower uncertainty factor to the PNEC using the ECOSAR model (US EPA, 2012b), which provides chemical class-specific ecotoxicity estimates. Finally, if necessary, Tier 3 is conducted using measured biodegradation and ecotoxicity data to refine the RQ, thus allowing for lower PNEC uncertainty factors. The data for calculating the PEC and PNEC for this safety assessment are provided in the table below. For the PEC, the range from the most recent IFRA Volume of Use Survey is reviewed. The PEC is then calculated using the actual regional tonnage, not the

extremes of the range. Following the RIFM Environmental Framework, γ -dodecalactone was identified as a fragrance material with the potential to present a possible risk to the aquatic environment (i.e., its screening-level PEC/PNEC > 1).

A screening-level hazard assessment using EPI Suite v4.11 (US EPA, 2012a) did not identify γ -dodecalactone as possibly persistent or bioaccumulative based on its structure and physical–chemical properties. This screening-level hazard assessment considers the potential for a material to be persistent *and* bioaccumulative *and* toxic, or very persistent *and* very bioaccumulative as defined in the Criteria Document (Api et al., 2015). As noted in the Criteria Document, the screening criteria applied are the same as those used in the EU for REACH (ECHA,

biodegradation after 28 days in the test conditions.

10.2.2.2. *Ecotoxicity.* No data available.

10.2.3. *Other available data*

γ -Dodecalactone has been pre-registered for REACH with no additional data at this time.

10.2.4. *Risk assessment refinement*

Ecotoxicological data and PNEC derivation (all endpoints reported in mg/L; PNECs in $\mu\text{g/L}$).

Endpoints used to calculate PNEC are underlined.

	LC50 (Fish) (mg/L)	EC50 (<i>Daphnia</i>) (mg/L)	EC50 (Algae) (mg/L)	AF	PNEC ($\mu\text{g/L}$)	Chemical Class
RIFM Framework Screening-level (Tier 1)	<u>1.463</u>			1,000,000	0.0015	
ECOSAR Acute Endpoints (Tier 2) Ver 1.11	3.301	5.812	<u>1.935</u>	10,000	0.1935	Esters
ECOSAR Acute Endpoints (Tier 2) Ver 1.11	6.613	4.333	5.831			Neutral Organics SAR (Baseline Toxicity)

2012). For persistence, if the EPI Suite model BIOWIN 3 predicts a value < 2.2 and either BIOWIN 2 or BIOWIN 6 predicts a value < 0.5, then the material is considered potentially persistent. A material would be considered potentially bioaccumulative if the EPI Suite model BCFBAF predicts a fish BCF ≥ 2000 L/kg. Ecotoxicity is determined in the above screening-level risk assessment. If, based on these model outputs (Step 1), additional assessment is required, a WoE-based review is then performed (Step 2). This review considers available data on the material's physical–chemical properties, environmental fate (e.g., OECD Guideline biodegradation studies or die-away studies), fish bioaccumulation, and higher-tier model outputs (e.g., US EPA's BIOWIN and BCFBAF found in EPI Suite v4.11). Data on persistence and bioaccumulation are reported below and summarized in the Environmental Safety Assessment section prior to Section 1.

10.2.2. Risk assessment

Based on the current Volume of Use (2015), γ -dodecalactone presents a risk to the aquatic compartment in the screening-level assessment.

10.2.2.1. *Biodegradation.* RIFM, 2011: The ready biodegradability of the test material was determined by the manometric respirometry test according to the OECD 301F method. γ -Dodecalactone underwent 80%

Exposure information and PEC calculation (following RIFM Framework: Salvito et al., 2002).

Exposure	Europe (EU)	North America (NA)
Log K_{ow} used	3.55	3.55
Biodegradation Factor Used	1	1
Dilution Factor	3	3
Regional Volume of Use Tonnage Band	1–10	1–10
Risk Characterization: PEC/PNEC	< 1	< 1

Based on available data, the RQ for this material is < 1. No additional assessment is necessary.

The RIFM PNEC is 0.1935 $\mu\text{g/L}$. The revised PEC/PNECs for EU and NA are < 1; therefore, the material does not present a risk to the aquatic environment at the current reported volumes of use.

Literature Search and Risk Assessment Completed On: 10/9/18.

11. Literature Search*

- **RIFM Database:** Target, Fragrance Structure Activity Group materials, other references, JECFA, CIR, SIDS
- **ECHA:** <http://echa.europa.eu/>

- **NTP:** <https://ntp.niehs.nih.gov/>
- **OECD Toolbox**
- **SciFinder:** <https://scifinder.cas.org/scifinder/view/scifinder/scifinderExplore.jsf>
- **PubMed:** <http://www.ncbi.nlm.nih.gov/pubmed>
- **TOXNET:** <http://toxnet.nlm.nih.gov/>
- **IARC:** <http://monographs.iarc.fr>
- **OECD SIDS:** <http://webnet.oecd.org/hpv/ui/Default.aspx>
- **EPA ACToR:** <https://actor.epa.gov/actor/home.xhtml>
- **US EPA HPVIS:** https://ofmpub.epa.gov/opthpv/public_search_publicdetails?submission_id=24959241&ShowComments=Yes&sqlstr=null&recordcount=0&User_title=DetailQuery%20Results&EndPointRpt=Y#submission
- **Japanese NITE:** <http://www.safe.nite.go.jp/english/db.html>
- **Japan Existing Chemical Data Base (JECDB):** http://dra4.nih.go.jp/mhlw_data/jsp/SearchPageENG.jsp

- **Google:** <https://www.google.com>
- **ChemIDplus:** <https://chem.nlm.nih.gov/chemidplus/>

Search keywords: CAS number and/or material names.

*Information sources outside of RIFM's database are noted as appropriate in the safety assessment. This is not an exhaustive list. The links listed above were active as of 05/28/19.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.fct.2019.110895>.

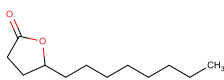
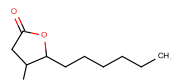
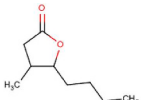
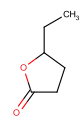
Appendix

Read-across Justification

Methods

The read-across analogs were identified following the strategy for structuring and reporting a read-across prediction of toxicity as described in Schultz et al. (2015). The strategy is also consistent with the guidance provided by OECD within Integrated Approaches for Testing and Assessment (OECD, 2015) and the European Chemical Agency read-across assessment framework (ECHA, 2016).

- First, materials were clustered based on their structural similarity. Second, data availability and data quality on the selected cluster were examined. Third, appropriate read-across analogs from the cluster were confirmed by expert judgment.
- Tanimoto structure similarity scores were calculated using FCFC4 fingerprints (Rogers and Hahn, 2010).
- The physical–chemical properties of the target substance and the read-across analogs were calculated using EPI Suite v4.11 (US EPA, 2012a).
- J_{\max} values were calculated using RIFM's Skin Absorption Model (SAM).
- DNA binding, mutagenicity, genotoxicity alerts, and oncologic classification predictions were generated using OECD QSAR Toolbox v4.2 (OECD, 2018).
- ER binding and repeat dose categorization were generated using OECD QSAR Toolbox v4.2 (OECD, 2018).
- Developmental toxicity was predicted using CAESAR v2.1.7 (Cassano et al., 2010).
- Protein binding was predicted using OECD QSAR Toolbox v4.2 (OECD, 2018), and skin sensitization was predicted using Toxtree.
- The major metabolites for the target and read-across analogs were determined and evaluated using OECD QSAR Toolbox v4.2 (OECD, 2018).

	Target Material	Read-across Material	Read-across Material	Read-across Material
Principal Name	γ -Dodecalactone	(\pm) 3-Methyl- γ -decalactone	4-Hydroxy-3-methyloctanoic acid lactone	γ -Hexalactone (γ -caprolactone)
CAS No.	2305-05-7	67663-01-8	39212-23-2	695-06-7
Structure				
Similarity (Tanimoto Score)		0.82	0.78	0.78
Read-across Endpoint		<ul style="list-style-type: none"> • Genotoxicity • Skin Sensitization 	<ul style="list-style-type: none"> • Skin Sensitization 	<ul style="list-style-type: none"> • Repeated Dose Toxicity • Developmental Toxicity
Molecular Formula	$C_{12}H_{22}O_2$	$C_{11}H_{20}O_2$	$C_9H_{16}O_2$	$C_6H_{10}O_2$
Molecular Weight	198.30	184.27	156.22	114.14
Melting Point (°C, EPI Suite)	21.46	26.92	6.29	-18
Boiling Point (°C, EPI Suite)	311.45	292.69	260.63	215.5
Vapor Pressure (Pa @ 25 °C, EPI Suite)	0.141	0.368	2.05	22
Log Kow (KOWWIN v1.68 in EPI Suite)	3.55	2.98	2.0	0.60
Water Solubility (mg/L, @ 25 °C, WSKOW v1.42 in EPI Suite)	41.54	148.2	1387	3.219e+004
J_{\max} ($\mu\text{g}/\text{cm}^2/\text{h}$, SAM)	5.747	6.231	62.889	353.995

	1.00E+002	7.56E+001	4.29E+001	1.83E+001
Henry's Law (Pa·m ³ /mol, Bond Method, EPI Suite)				
Genotoxicity				
DNA Binding (OASIS v1.4, QSAR Toolbox v4.2)	● AN2 AN2 >> Michael-type addition on α,β-unsaturated carbonyl compounds AN2 >> Michael-type addition on α,β-unsaturated carbonyl compounds >> Four- and Five-Membered Lactones SN2 SN2 >> Alkylation, ring opening SN2 reaction SN2 >> Alkylation	● AN2 AN2 >> Michael-type addition on α,β-unsaturated carbonyl compounds AN2 >> Michael-type addition on α,β-unsaturated carbonyl compounds >> Four- and Five-Membered Lactones SN2 SN2 >> Alkylation, ring opening SN2 reaction SN2 >> Alkylation		
DNA Binding (OECD QSAR Toolbox v4.2)	● No alert found	● No alert found		
Carcinogenicity (ISS)	● Non-Carcinogen (low reliability)	● Non-carcinogen (low reliability)		
DNA Binding (Ames, MN, CA, OASIS v1.1)	● No alert found	● No alert found		
<i>In Vitro</i> Mutagenicity (Ames, ISS)	● No alert found	● No alert found		
<i>In Vivo</i> Mutagenicity (Micro-nucleus, ISS)	● Oxolane	● Oxolane		
Oncologic Classification	● Lactone Type Reactive Functional Groups	● Lactone Type Reactive Functional Groups		
<i>Repeated Dose Toxicity</i>				
Repeated Dose (HESS)	● Not categorized			● Not categorized
Reproductive Toxicity				
ER Binding (OECD QSAR Toolbox v4.2)	● Non-binder, without OH or NH2 group			● Non-binder, without OH or NH2 group
Developmental Toxicity (CAESAR v2.1.6)	● Non-toxicant (moderate reliability)			● Non-toxicant (low reliability)
Skin Sensitization				
Protein Binding (OASIS v1.1)	● No alert found	● No alert found	● No alert found	
Protein Binding (OECD)	● Acylation	● Acylation	● Acylation	
Protein Binding Potency	● Not possible to classify according to these rules (GSH)	● Not possible to classify according to these rules (GSH)	● Not possible to classify according to these rules (GSH)	
Protein Binding Alerts for Skin Sensitization (OASIS v1.1)	● No alert found	● No alert found	● No alert found	
Skin Sensitization Reactivity Domains (Toxtree v2.6.13)	● No alert found	● No alert found	● No alert found	
Metabolism				
Rat Liver S9 Metabolism Simulator and Structural Alerts for Metabolites (OECD QSAR Toolbox v4.2)	See Supplemental Data 1	See Supplemental Data 2	See Supplemental Data 3	See Supplemental Data 4

Summary

There are insufficient toxicity data on γ -dodecalactone (CAS # 2305-05-7). Hence, *in silico* evaluation was conducted to determine read-across analogs for this material. Based on structural similarity, reactivity, physical–chemical properties, and expert judgment, (±) 3-methyl- γ -decalactone (CAS # 67663-01-8), 4-hydroxy-3-methyloctanoic acid lactone (CAS # 39212-23-2), and γ -hexalactone (CAS # 695-06-7) were identified as read-across analogs with sufficient data for toxicological evaluation.

Conclusions

- (±) 3-Methyl- γ -decalactone (CAS # 67663-01-8) was used as a read-across analog for the target material γ -dodecalactone (CAS # 2305-05-7) for the genotoxicity and skin sensitization endpoints.
 - The target substance and the read-across analog are structurally similar and belong to a class of γ -lactones.
 - The key difference between the target substance and the read-across analog is that the target substance has an octyl substitution at the 5 position while the read-across analog has a hexyl substitution at the 5 position and a methyl substitution at the 4 position. This structural difference is toxicologically insignificant.
 - Similarity between the target substance and the read-across analog is indicated by the Tanimoto score. Differences between the structures that affect the Tanimoto score are toxicologically insignificant.
 - The physical–chemical properties of the target substance and the read-across analog are sufficiently similar to enable comparison of their toxicological properties.
 - Differences are predicted for J_{\max} , which estimates skin absorption. J_{\max} for the read-across analog corresponds to skin absorption ≤ 80 , and J_{\max} for the target substance corresponds to skin absorption ≤ 40 . While percentage skin absorption estimated from J_{\max} indicates exposure to the substance, it does not represent hazard or toxicity. This parameter provides context to assess the impact of bioavailability on toxicity comparisons between the materials evaluated.

- o According to the OECD QSAR Toolbox v4.2, structural alerts for toxicological endpoints are consistent between the target substance and the read-across analog.
- o The target substance and the read-across analog have AN2 reaction alerts and an oxolane alert for *in vivo* mutagenicity by the ISS model. Both substances are classified as lactones in oncologic classification. The lactone ring in the target substance as well as in the read-across analog material is saturated. After ring opening, the resulting carbonyl in the structure will not be activated (α,β unsaturated), which reduces the possibility of acting as nucleophile and involving a DNA binding reaction. Based on the read-across analog data described in the genotoxicity section, the read-across analog does not present a concern for genetic toxicity under the current, declared levels of use. Therefore, the predictions are superseded by data.
- o The target substance and the read-across analog have acylation alerts. Based on the limited data on the target and data on the read-across analog, it is confirmed that the substances do not present a concern for skin sensitization. Therefore, the predictions are superseded by data.
- o The target substance and the read-across analog are expected to be metabolized similarly, as shown by the metabolism simulator.
- o The structural alerts for the endpoints evaluated are consistent between the metabolites of the read-across analog and the target material.
- 4-Hydroxy-3-methyloctanoic acid lactone (CAS # 39212-23-2) was used as a read-across analog for the target material γ -dodecalactone (CAS # 2305-05-7) for the skin sensitization endpoint.
 - o The target substance and the read-across analog are structurally similar and belong to a class of γ -lactones.
 - o The key difference between the target substance and the read-across analog is that the target substance has an octyl substitution at the 5 position while the read-across analog has a butyl substitution at the 5 position and a methyl substitution at the 4 position. This structural difference is toxicologically insignificant.
 - o Similarity between the target substance and the read-across analog is indicated by the Tanimoto score. Differences between the structures that affect the Tanimoto score are toxicologically insignificant.
 - o The physical–chemical properties of the target substance and the read-across analog are sufficiently similar to enable comparison of their toxicological properties.
 - o Differences are predicted for J_{\max} , which estimates skin absorption. J_{\max} for the target substance corresponds to skin absorption ≤ 80 , and J_{\max} for the read-across analog corresponds to skin absorption ≤ 40 . While percentage skin absorption estimated from J_{\max} indicates exposure to the substance, it does not represent hazard or toxicity. This parameter provides context to assess the impact of bioavailability on toxicity comparisons between the materials evaluated.
 - o According to the OECD QSAR Toolbox v4.2, structural alerts for toxicological endpoints are consistent between the target substance and the read-across analog.
 - o The target substance and the read-across analog have acylation alerts. Based on the limited data on the target and data on the read-across analog, it is confirmed that the substances do not present a concern for skin sensitization. Therefore, the predictions are superseded by data.
 - o The target substance and the read-across analog are expected to be metabolized similarly, as shown by the metabolism simulator.
 - o The structural alerts for the endpoints evaluated are consistent between the metabolites of the read-across analog and the target material.
- γ -Hexalactone (CAS # 695-06-7) was used as a read-across analog for the target material γ -dodecalactone (CAS # 2305-05-7) for the repeated dose toxicity and developmental toxicity endpoints.
 - o The target substance and the read-across analog are structurally similar and belong to a class of γ -lactones.
 - o The key difference between the target substance and the read-across analog is that the target substance has an octyl substitution at the 5 position while the read-across analog has an ethyl substitution at the 5 position. This structural difference is toxicologically insignificant.
 - o Similarity between the target substance and the read-across analog is indicated by the Tanimoto score. Differences between the structures that affect the Tanimoto score are toxicologically insignificant.
 - o The physical–chemical properties of the target substance and the read-across analog are sufficiently similar to enable comparison of their toxicological properties.
 - o Differences are predicted for J_{\max} , which estimates skin absorption. J_{\max} for the target substance corresponds to skin absorption ≤ 80 , and J_{\max} for the read-across analog corresponds to skin absorption ≤ 40 . While percentage skin absorption estimated from J_{\max} indicates exposure to the substance, it does not represent hazard or toxicity. This parameter provides context to assess the impact of bioavailability on toxicity comparisons between the materials evaluated.
 - o According to the OECD QSAR Toolbox v4.2, structural alerts for toxicological endpoints are consistent between the target substance and the read-across analog.
 - o The target substance and the read-across analog have acylation alerts. Based on the limited data on the target and data on the read-across analog, it is confirmed that the substances do not present a concern for skin sensitization. Therefore, the predictions are superseded by data.
 - o The target substance and the read-across analog are expected to be metabolized similarly, as shown by the metabolism simulator.
 - o The structural alerts for the endpoints evaluated are consistent between the metabolites of the read-across analog and the target material.

Explanation of Cramer Classification

Due to potential discrepancies between the current *in silico* tools (Bhatia et al., 2015), the Cramer Class of the target material was determined using expert judgment, based on the Cramer decision tree.

- Q1. Normal constituent of the body? No
- Q2. Contains functional groups associated with enhanced toxicity? No
- Q3. Contains elements other than C, H, O, N, and divalent S? No
- Q5. Simply branched aliphatic hydrocarbon or a common carbohydrate? No
- Q6. Benzene derivative with certain substituents? No
- Q7. Heterocyclic? No
- Q8. Lactone or cyclic diester? No
- Q9. Lactone, fused to another ring, or 5- or 6-membered α,β -unsaturated lactone? No
- Q20. Aliphatic with some functional groups (see Cramer et al., 1978 for detailed explanation)? Yes

Q21. 3 or more different functional groups? No

Q18. One of the list? No (see Cramer et al., 1978 for detailed explanation on list of categories) Yes, Class I (Class Low)

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